JRAL BANKERS RESEARCH AND VELOPMENT FOUNDATION, INC.

In cooperation with

FEDERATION OF BAGUIO, BENGUET AND MT. PROVINCE RURAL AND COOPERATIVE BANKS

Risk-Based Audit Methodology

Date: May 8-9, 2015 (Friday - Saturday)
Venue: El Cielito Hotel-Baguio City
Time: 8:30am to 5:00pm
Resource Person:
Ms. Emma M. Galvez, CIA,CISA
Accredited External Quality Assurance Validator for Internal Audit Activity
Bank Executive Officer, LBP

Seminar Fee:
1. Early bird – P4, 500 (on or before April 30, 2015)
2. Regular Rate – P4, 800 (After April 30, 2015)

Mode of Payment

- ✓ A Non-Refundable commitment fee of ₱2,400.00 per participant.
- ✓ Bank account- **BDO-La Trinidad Branch**
  Account Name: Highland Rural Bank, Inc.
  Account No. 518-000-30-84
- ✓ Proof of payment fax to (074) 443-9867 or email to rbitogon96@yahoo.com.ph; **highlandbank@yahoo.com.ph**

Seminar Methodologies

Lecture, Discussions and Case Studies / Simulations. The lecture will provide the requisite concepts on the topic while the workshop will provide an avenue to apply the concepts learned.

Expected Participants

Compliance Officer, Internal Auditor, Risk Management Manager, Credit Risk Officer, Risk Management Committee –Director, President, Branch Managers

Requirements:
1) Laptop
2) Prior to the attendance to the seminar-works, the participants are expected to have studied/read the following documents pertaining to their Bank, to wit:

- ➢ Strategic Plans and Programs
- ➢ Mission/Vision Statement (if any)
- ➢ Organizational Structure
- ➢ Manual of Operation
- ➢ Latest BSP Results of Examination
- ➢ Latest Audited Financial Statement

I. Objectives

This seminar-workshop aims to help participants understand the role of internal audit function in the Bank and how to implement, manage and benefit from risk based auditing methodology.

At the end of the seminar –workshop, it is expected that the participants:

- Appreciate the rationale of adopting of risk-based internal audit methodology
- Understand the requirements of the BSP on internal audit function
- Understand the International Professional Practice Framework on Internal Auditing
- Recognize the role of internal audit in corporate governance, risk management and controls
- Comprehend the Internal Control Framework
- Understand the process of developing and implementing a risk-based internal audit methodology

Course Outline

- ➢ Why adopt risk-based audit methodology?
- ➢ What is a risk-based audit methodology?
- ➢ International Professional Practice Framework on Internal Auditing

(Definition, Code of Ethics, Intl. Standard Professional Practice on Internal Auditing)

- BSP's requirement on Internal Audit Function vis-à-vis Nature of Work of Internal Audit Activity based on ISPPIA
- Governance, risk management and controls
- COSO Internal Control Framework
- Strategic Planning
- Engagement Planning
- Performing the Engagement
- Communicating Results
- Monitoring Progress

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CONFIRMATION SHEET
RISK-BASED AUDIT METHODOLOGY

Date: *May 8-9, 2015 (Friday-Saturday)*

Venue: **El Cielito Hotel-Baguio**
No.50 North Drive, Engineer's Hill
Baguio City
Tel. No. (074) 443-2134/ 443-4846

For your reservation, please Contact Carlyn P. Ticol (Rural Bank of Itogon, Inc), Tel. No. (074) 4439867 or Mobile No. 09228516077;email:rbitogon96@yahoo.com.ph;carlynpticol@yahoo.com; Florence Villena (Highland Rural Bank, Inc.), Tel. No. (074) 422-1333; email: highlandbank@yahoo.com.ph

Name	Designation	Nick-name	Degree and Year Graduated
1.			
2.			
3.			

_________________________________ Date: ______________________________
(Printed Name and Signature)

Designation: ___________________________ Rural Bank :______________________________
Telephone: _____________________________ Province :______________________________
Mobilephone: ___________________________ Email :_______________________________
Address: ____